



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

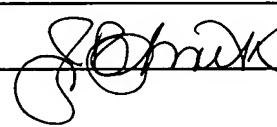
(to be used for all correspondence after initial filing)

		Application Number	10/073,402
		Filing Date	02/11/2002
		First Named Inventor	Steinbeck, Marla
		Group Art Unit	1645
		Examiner Name	
Total Number of Pages in This Submission	6	Attorney Docket Number	STE01-NP001

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Janet B. Smith
Signature	
Date	05/14/2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	
Signature	
	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



05-16-

T.D. May 14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 14 2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

2002

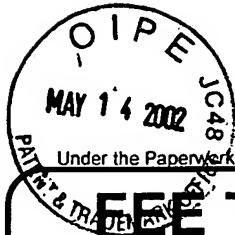
2002

2002

2002

2002

2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEES TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

65.00

## Complete if Known

Application Number	10/073,402
Filing Date	02/11/2002
First Named Inventor	Steinbeck, Marla
Examiner Name	
Group Art Unit	1645
Attorney Docket No.	STE01-NP001

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0491**  
Deposit Account Name **Thomas Jefferson University**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

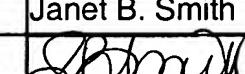
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	65.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				<b>65.00</b>

\*or number previously paid, if greater; For Reissues, see above

**SUBTOTAL (3) (\$)** **65.00**

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Janet B. Smith	Registration No. (Attorney/Agent)	45,461	Telephone	(215) 503-2386
Signature		Date	05/14/2002		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 14 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/073,402	5/11/2002	Marla Steinbeck	STE01-NP001

PATENT & TRADEMARK  
6/11/2002

24358  
THOMAS JEFFERSON UNIVERSITY  
INTELLECTUAL PROPERTY DIVISION  
1020 WALNUT STREET  
SUITE 620  
PHILADELPHIA, PA 19107

CONFIRMATION NO. 5286  
FORMALITIES LETTER  
  
\*OC00000007959069\*

Date Mailed: 04/25/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- \$65 Late oath or declaration Surcharge.

05/17/2002 SDENB0B1 00000031 500491 10073402

01 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*Edwan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY